

**300**  
**40<sup>th</sup>**  
ANNIVERSARY

# **Securities and Exchange Commission 1934-1974**

STATLER HILTON HOTEL

OCTOBER TWENTY-FOURTH, NINETEEN HUNDRED SEVENTY FOUR

# Menu

Melon Rafraichi

Burgundy

Sliced Top Sirloin of Beef Bordelaise  
Chateau Potatoes  
Stringbeans Amandine

Mixed Green Salad  
Cherry Tomatoes & Sliced Radishes  
Oil & Vinegar Dressing

Bombe Carioca  
Rum Chocolate Ice Cream  
Crushed Pineapple

Coffee

# *Program*

## SPEAKERS

Honorable Ray Garrett Jr.  
Chairman, Securities and Exchange Commission

Honorable J. Sinclair Armstrong  
Former Chairman, Securities and Exchange Commission

Honorable Hamer H. Budge  
Former Chairman, Securities and Exchange Commission

Honorable William L. Cary  
Former Chairman, Securities and Exchange Commission

Honorable William J. Casey  
President and Chairman, Export-Import Bank  
Former Chairman, Securities and Exchange Commission

Honorable Manuel F. Cohen  
Former Chairman, Securities and Exchange Commission

Honorable G. Bradford Cook  
Former Chairman, Securities and Exchange Commission

Honorable Ralph H. Demmler  
Former Chairman, Securities and Exchange Commission

Honorable Williamson (Bill) Stuckey  
Member of Congress

Honorable William O. Douglas  
Associate Justice, United States Supreme Court  
Former Chairman, Securities and Exchange Commission



# *Guest List*

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Hon. Irving M. Pollack  
Mrs. John R. Evans  
Hon. Philip A. Loomis Jr.  
Mrs. A. A. Sommer Jr.  
Hon. G. Bradford Cook  
Mrs. Ralph H. Demmler  
Hon. Hamer H. Budge  
Mrs. Manuel F. Cohen  
Hon. J. Sinclair Armstrong  
Mrs. Ray Garrett Jr.  
Hon. William J. Casey  
Mrs. Williamson Stuckey  
Mr. Justice William O. Douglas  
Hon. Ray Garrett Jr.  
Mrs. William O. Douglas  
Hon. Williamson (Bill) Stuckey  
Mrs. William J. Casey  
Hon. Ralph H. Demmler  
Mrs. J. Sinclair Armstrong  
Hon. William L. Cary  
Mrs. G. Bradford Cook  
Hon. Manuel F. Cohen  
Mrs. Irving M. Pollack  
Hon. John R. Evans  
Hon. A. A. Sommer Jr.

## GUESTS

- Abrams, Mr. and Mrs. Lawrence I.  
 Accordinio, Mr. Carmen A. (60)  
 Ake, Mr. and Mrs. John N. (65)  
 \*Akman, Ms. Sunny  
 Alexander, Mr. Clifford J.  
 Alper, Mr. and Mrs. Jerome M. (13)  
 \*Altman, Mr. and Mrs. Theodore (35)  
 \*Appleton, Ms. Ruth D. (43)  
 Armstrong, Mr. and Mrs. Thomas W. (26)  
 Ashwood, Ms. Dorothy (75)  
 Atwell, Mr. and Mrs. Charles M.  
 Auerbach, Mr. and Mrs. Joseph (26)
- Baker, Mr. and Mrs. Thomas E. (28)  
 Bandler, Mr. and Mrs. Richard V. (72)  
 \*Banner, Ms. Mary H. (32)  
 Barg, Mr. Myron E.  
 Barr, Mr. Andrew (1)  
 \*Barreaux, Mr. Theodore (14)  
 Battist, Dr. and Mrs. Lewis (82)  
 Baum, Mrs. Harry (46)  
 Bauman, Prof. Jeffrey D. (62)  
 \*Bavinger, Mr. and Mrs. William F. III (48)  
 \*Beard, Mr. and Mrs. Elijah III  
 Becker, Mr. and Mrs. William E. (19)  
 Belash, Mr. John W.  
 Bell, Mr. Dennis A. (69)  
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 \*Bennett, Ms. Ellen S. (55)  
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 \*Berglas, Mr. Martin S. (48)  
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 \*Berman, Mr. and Mrs. Marc L. (56)  
 \*Bernas, Mr. and Mrs. John S. (70)  
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 \*Bierne, Mr. Thomas R. (11)  
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 \*Black, Mr. and Mrs. Robert E. (10)  
 \*Blackburn, Mr. Robert B. (66)  
 \*Blair, Mr. and Mrs. Warren E. (15)  
 \*Blanc, Mr. and Mrs. Roger D. (81)  
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 \*Borochoff, Mr. Irving (69)  
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 \*Borowski, Mr. and Mrs. Irwin (35)  
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 \*Brodsky, Mr. Richard E. (66)  
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 Chapman, Mr. and Mrs. Dennis (70)  
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 \*Cheney, Mr. Ronald L. (66)  
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 \*Ciccone, Ms. Rita E. (38)  
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 \*Clinton, Ms. Victoria (69)  
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 Dreisbach, Ms. Marcia K. (10)  
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 Kaufman, Mr. and Mrs. Charles R. (16)  
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 Kellogg, Mr. and Mrs. Howard L. (45)  
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 \*Kiernan, Mr. Peter S. (54)  
 \*King, Mr. and Mrs. David R. (22)  
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- \*Kitchen, Ms. Velta B. (20)  
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 \*Koeppel, Mr. and Mrs. Morton (47)  
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 Long, Ms. Billie K.  
 \*Long, Mr. and Mrs. Joseph W. (32)  
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 Loretz, Ms. Faye (38)  
 Loss, Prof. and Mrs. Louis (8)  
 Louchheim, Mrs. Walter C. (4)  
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 McCabe, Mr. Leo O.  
 McCarthy, Mr. and Mrs. Charles R. Jr.  
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 \*Manzoni, Mr. and Mrs. Charles R. (48)  
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 \*Milk, Mr. and Mrs. Benjamin (9)  
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 Millonzi, Hon. and Mrs. Robert I. (7)  
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 Mirabelli, Mr. Mario V.  
 Mishler, Mr. and Mrs. Sheldon  
 \*Moore, Mr. Charles A. (6)  
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 Moore, Ms. Janet (69)  
 \*Moran, Mr. William D. (11)  
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 \*Mostoff, Mr. and Mrs. Allan S. (41)  
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 \*Muir, Mr. J. Lawrence  
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- \*O'Boyle, Mr. Hugh A. (55)  
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 Ogg, Mr. Leslie L. (60)  
 \*Oglebay, Mr. and Mrs. Charles A. Jr. (28)
- Olson, Mrs. Robert E. (23)  
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 \*Outten, Mr. and Mrs. Andrew (75)  
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- \*Pace, Mr. Jonathan A. (66)  
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 Panuch, Mr. J. Anthony (27)  
 Panzer, Mr. and Mrs. Irving R. M. (23)  
 Paradise, Mr. and Mrs. Stephen J. (25)  
 \*Pardee, Mr. and Mrs. Charles A. (45)  
 \*Parisi, Ms. Susan W. (48)  
 \*Parker, Ms. Marie E. (66)  
 \*\*Paulson, Mr. and Mrs. Robert A.  
 \*Peloso, Mr. John F. X. (11)  
 Penland, Mr. John (71)  
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 Phillips, Mr. and Mrs. Richard M. (24)  
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 Reynolds, Mr. and Mrs. George E. (52)  
 Reynolds, Ms. Mary Jane  
 \*Riccio, Mr. and Mrs. Willis H. (18)  
 \*\*Richman, Mr. Sheldon B. (73)

- Rieger, Mr. Mitchell S.  
Riger, Prof. Martin  
Risk, Mr. and Mrs. Sharon Clay (4)  
\*Rivers, Mr. Stephen F. (66)  
Roberts, Ms. Veronica (69)  
Robinson, Mr. and Mrs. Donald J. (49)  
\*Rogan, Mr. Michael P. (55)  
Rogers, Ms. Elizabeth B. A. (53)  
\*Rogers, Mr. James C. Jr. (61)  
Rogers, Mr. and Mrs. Walter D.  
\*Romanski, Mr. and Mrs. David J. (48)  
Rose, Mr. Gary D. (74)  
\*Rosenblat, Mr. Alan (54)  
Rosenblum, Mr. Jerold H.  
Rosenblum, Mr. Kenneth I. (78)  
Rosenfeld, Mr. and Mrs. S. James (25)  
Rosenstein, Mr. and Mrs. Larry M. (24)  
\*Rossen, Mr. Leonard H. (35)  
Rotberg, Mr. and Mrs. Eugene (41)  
Rothkopf, Mr. and Mrs. Arthur J. (59)  
\*Routman, Mr. Richard L. (66)  
\*Rowe, Mr. and Mrs. Richard H. (20)  
Rowen, Mr. and Mrs. Harvey A.  
\*Rubin, Mr. and Mrs. Leon C. (40)  
Ruggiero, Ms. Barbara J. (64)  
Russell, Mr. and Mrs. Rodney M. (40)  
Russo, Mr. Thomas A. (78)  
\*Ryan, Mr. and Mrs. Lloyd F. Jr.
- Saitlin, Mr. Sheldon I. (51)  
Sameth, Mrs. Elaine H. (76)  
Sameth, Mr. Nathan (76)  
Sameth, Mr. and Mrs. Paul N. (76)  
\*Sampson, Mr. and Mrs. Clarence (34)  
Santos, Mr. and Mrs. Everett J. (84)  
Sargent, Hon. and Mrs. James C. (7)  
Saul, Mr. and Mrs. Ralph S. (41)  
Schaefer, Mr. Mike  
Schatz, Mr. Daniel J.  
\*Scherer, Mr. Howard S. (81)  
\*Schiller, Mr. and Mrs. Irving (13)  
Schlossberg, Mr. Joel (54)  
Schneider, Mr. and Mrs. Sam (23)  
Schulman, Mr. Robert D.  
Schwartz, Ms. Blanche (32)  
Schwartz, Prof. Donald E. (62)  
Scully, Mr. George P. (69)  
\*Seamons, Mr. and Mrs. Quinton F. (24)  
Self, Ms. Helen F. (38)  
Seltzer, Mr. Richard S. (2)  
\*Sergio, Mr. and Mrs. George D. (34)  
Sharp, Mr. James R.
- \*Sharp, Mr. Richard (55)  
Sheidlower, Mr. and Mrs. Arnold M. (74)  
Shephard, Ms. Judith G. (51)  
\*\*Sherman, Ms. Sharon L. (73)  
\*Shervin, Mr. and Mrs. Berkeley M. (43)  
Shoemaker, Mrs. Vivian (38)  
\*\*Shore, Mr. Steven J. (30)  
Shreve, Mrs. Charles E. (1)  
\*Siegan, Mr. and Mrs. Jerold N. (43)  
Siegel, Mr. and Mrs. Gerald W. (3)  
\*Siegel, Mr. Martin S. (11)  
\*Siesto, Ms. Barbara L. (31)  
Silver, Mr. and Mrs. David (59)  
Simon, Ms. Barbara L. (31)  
Simpson, Mr. Bruce J.  
Simpson, Mr. Murray L. (69)  
Slattery, Ms. Miriam V. (38)  
Smeltzer, Mr. and Mrs. Karl C. (40)  
Smith, Mr. and Mrs. David W. (12)  
\*Smith, Ms. Donna L.  
\*Smith, Mr. and Mrs. John L. (75)  
Smith, Mr. and Mrs. Philip N. Jr. (84)  
Smith, Hon. Richard B. (2)  
\*Snodgrass, Mr. Francis R. (81)  
\*Somers, Mr. and Mrs. Martin W. (14)  
\*Sonde, Mr. and Mrs. Theodore (35)  
Spinuzzi, Mr. and Mrs. John A.  
\*Spirer, Mr. Kenneth S.  
Spiro, Mr. Simon U.  
\*Sporkin, Mr. and Mrs. Stanley (35)  
Staloff, Mr. and Mrs. Arnold (78)  
\*Stanger, Mr. and Mrs. Craig C. (57)  
\*Steffan, Mr. and Mrs. Andrew P. (6)  
\*\*Steiger, Mr. and Mrs. Paul E. (73)  
Stern, Mr. Henry L.  
\*Stern, Mr. William S. (64)  
\*Stewart, Mr. Michael J. (53)  
\*Stillman, Mr. and Mrs. Jacob H.  
Stocking, Mr. and Mrs. Donald J. (17)  
Stokes, Mr. I. N. P. (16)  
Stoltz, Mr. Prebble  
Stookey, Mr. and Mrs. Donald B. (16)  
Strahota, Mr. and Mrs. Robert D.  
Strom, Mr. Milton G. (77)  
Strum, Mr. Gary (59)  
\*Sullivan, Ms. Janice L.  
Sullivan, Mr. John T. (49)  
\*Sundick, Mr. and Mrs. Gary N.  
\*\*Sussman, Mr. Edward C. (73)  
\*Swainson, Ms. Rose A. (31)  
Swope, Mr. and Mrs. Gerard Jr. (16)  
Szwee, Mr. Peter

Tait, Mr. and Mrs. Edward T. (39)  
Tashman, Ms. Ethel A. (38)  
\*Taylor, Mr. and Mrs. C. Arnold (22)  
Teberg, Mr. Richard L. (62)  
\*Tennant, Mr. and Mrs. David P. (42)  
Thole, Mr. Joseph (67)  
K-Thorpe, Mr. and Mrs. Barry D. (74)  
Throop, Mr. and Mrs. Allen E. (4)  
Timberg, Mr. and Mrs. Sigmund (17)  
Timbers, Hon. and Mrs. William H. (39)  
\*Timmeny, Mr. and Mrs. Wallace L. (58)  
\*Toomey, Mr. and Mrs. William E. (44)  
\*Toscano, Mr. Lawrence J. (31)  
Townsend, Mr. and Mrs. Courtland K. (43)  
\*Tracy, Mr. and Mrs. Ralph Hunter (15)  
\*Trifiro, Mr. and Mrs. Alfred J. (42)  
Trops, Mr. John J.  
\*Trops, Mrs. Tamara B.  
Trynin, Mr. Charles  
Tsucalas, Mr. John (83)  
\*Tucker, Mr. Jeffrey H. (11)  
\*Tucker, Mr. J. Richard (79)  
\*Turner, Ms. Patricia (67)  
  
\*Ullman, Mr. and Mrs. Sidney (15)

Valadez, Mr. Michael  
Vaughan, Mr. and Mrs. Kenneth J. (60)  
Vernon, Mr. Leo (13)  
Veron, Mr. Richard (51)  
\*Vertuno, Mr. Anthony A. (65)  
\*vonSternberg, Mr. Mark R. (64)

\*Wagner, Mr. and Mrs. Edward B. (15)  
\*Waldeck, Mr. Dennis J. (37)  
\*Warren, Mr. and Mrs. Robert Jr. (55)  
Warwick, Ms. Kathleen A. (24)  
\*Washington, Mr. Maryland (75)  
\*Watson, Mr. Robert F. (18)  
\*Weggeland, Mr. G. Gail (63)  
Weiner, Mr. Joseph L. (85)  
Weiner, Ms. Lois F.  
Weinmann, Mr. Eric W.  
Weiss, Mr. and Mrs. Stephen J.  
Weitzen, Mr. Mark (51)  
Welch, Mr. and Mrs. Harold P. (1)  
\*Wells, Mr. Jonathan A. (78)  
Wels, Mr. and Mrs. Richard H. (27)  
\*Wessel, Mr. Richard P. (66)

\*Wexler, Mr. and Mrs. Bernard (64)  
Whitelaw, Ms. Beatrice E. (38)  
Whitman, Mr. Charles S. III (9)  
Whitney, Mr. Courtney (74)  
\*Wierwille, Ms. JoAnn (55)  
Willner, Mr. Robert G. (51)  
\*Wilson, Mr. Edward A. (6)  
\*\*Wilson, Mr. Stanley (73)  
Wing, Mr. and Mrs. John A. (76)  
Winslow, Mr. Mark E.  
Witz, Mr. Allen Barry (51)  
\*Wolf, Mr. and Mrs. Robert R. (6)  
\*Woodlock, Mr. and Mrs. Douglas P. (44)  
\*Woodson, Mr. and Mrs. Roderic L. (57)  
Worthy, Mrs. Edmund H. Sr. (1)

Yohalem, Mr. and Mrs. Morton F. (13)  
Yorke, Mr. A. Jones IV (9)  
Young, Mr. and Mrs. Llewellyn P. (50)  
Youngs, Mr. John R.

Zeiger, Mr. R. Anthony  
\*Zilski, Mr. and Mrs. Frank (61)  
Zion, Ms. Mollie B. (38)

\* Present Employees  
\*\* Press  
( ) Number indicates table assignments



MEMBERS OF THE SECURITIES AND EXCHANGE COMMISSION

1934 - 1974

COMMISSIONER	NAMED BY	SERVED		CHAIRMAN	
		FROM	TO	FROM	TO
*Joseph P. Kennedy (D)	President Roosevelt	7/2/34	9/23/35	7/2/34	9/23/35
*George C. Mathews (R)		7/2/34	4/15/40		
*James M. Landis (D)		7/2/34	9/15/37	9/23/35	9/15/37
*Robert E. Healy (R)		7/2/34	11/16/46		
*Ferdinand Pecora (D)		7/2/34	1/21/35		
*J. D. Ross (D)		10/6/35	10/31/37		
William O. Douglas (D)		1/31/36	4/16/39	9/21/37	4/16/39
*Jerome N. Frank (D)		12/27/37	4/30/41	5/18/39	4/9/41
John W. Hanes (D)		1/14/38	6/30/38		
*Edward C. Eicher (D)		12/3/38	2/2/42	4/9/41	1/20/42
Leon Henderson (D)		5/18/39	7/8/41		
Sumner T. Pike (R)		6/4/40	4/30/46		
*Ganson Purcell (D)		6/17/41	6/30/46	1/20/42	6/30/46
Edmund Burke, Jr. (D)		7/31/41	10/19/43		
Robert H. O'Brien (D)		2/3/42	12/28/44		
*Robert K. McConaughay (D)		12/29/43	6/5/49		
*James J. Caffrey (D)		5/2/45	12/31/47	7/23/46	12/31/47
*Richard B. McEntire (R)		6/4/46	5/31/53		
Edmond M. Hanrahan (D)		7/22/46	11/3/49	5/18/48	11/3/49
*Harry A. McDonald (R)		3/26/47	2/25/52	11/4/49	2/25/52
*Paul R. Rowen (D)		5/28/48	6/5/55		
Donald C. Cook (D)		11/1/49	6/17/53	2/26/52	6/17/53
Edward T. McCormick (D)		11/4/49	3/31/51		
Robert I. Millonzi (D)		7/17/51	6/5/52		
Clarence H. Adams (R)		5/12/52	6/5/56		
J. Howard Rossbach (D)		8/4/52	2/14/53		
Ralph H. Demmler (R)		6/17/53	5/25/55	6/17/53	5/25/55
J. Sinclair Armstrong (R)		7/16/53	6/27/57	5/25/55	6/27/57
A. J. Goodwin, Jr. (D)		7/16/53	12/31/55		
Andrew Downey Orrick (R)		5/26/55	7/15/60		
*Harold C. Patterson (D)		8/5/55	11/29/60		
*Earl F. Hastings (D)		3/11/56	8/31/61		
James C. Sargent (R)		6/29/56	10/21/60		
*Edward N. Gadsby (R)		8/20/57	8/4/61	8/20/57	3/26/61
Byron D. Woodside (R)		7/15/60	4/30/67		
Daniel J. McCauley, Jr. (R)		10/24/60	3/26/61		
J. Allen Frear, Jr. (D)		3/15/61	9/30/63		
William L. Cary (D)		3/27/61	8/21/64	3/27/61	8/20/64
Manuel F. Cohen (D)		10/11/61	2/22/69	8/20/64	2/22/69
Jack M. Whitney II (R)		11/9/61	6/15/64		
Hugh F. Owens (D)		3/23/64	11/20/73		
Hamer H. Budge (R)		7/8/64	1/2/71	2/22/69	1/2/71
Francis M. Wheat (D)		10/2/64	9/30/69		
Richard B. Smith (R)		5/1/67	7/30/71		
James J. Needham (R)		7/10/69	7/14/72		
A. Sydney Herlong (D)		10/29/69	6/30/73		
William J. Casey (R)		4/14/71	2/2/73	4/14/71	2/2/73
Philip A. Loomis, Jr. (R)		8/13/71			
John R. Evans (R)		3/3/73			
G. Bradford Cook, (R)		3/3/73			
Ray Garrett, Jr. (R)		8/6/73			
A. A. Sommer, Jr. (D)		8/6/73			
Irving M. Pollack (D)		2/13/74			
(53)					

\*Deceased

SEC 40th ANNIVERSARY ADDITIONAL GUESTS

- \*Arterberry, Mr. John D. (64)
- \*\*Bacon, Mr. Kenneth (73)
- Bates, Mr. Earle S. (39)
- Berkowitz, Mr. Steve H.
- Berl, Mr. Joe (27)
- \*Berling, Ms. Aase (46)
- Berner, Mr. and Mrs. Robert C. (4)
- Blanchard, Mr. John J.
- \*Bradford, Ms. Barbara R. (28)
- \*Braham, Mr. Hyman (36)
- Burke, Mr. Larry
- \*Chuppe, Mr. Terry (67)
- Clarke, Mr. William S.
- Cox, Mr. Robin L. (32)
- Davis, Mr. Warren (28)
- Delaney, Ms. Sandra L. (19)
- Elias, Mr. Jack I. (40)
- Fortas, Mr. Abe (27)
- \*Friedman, Mr. Bart (81)
- \*Gallo, Mr. and Mrs. Alfred (83)
- Gasarch, Mr. Mark (50)
- \*Gersh, Mr. Wesley A. (64)
- Gordon, Mr. Milton (46)
- \*Horton, Mr. Jean W. (34)
- Hughes, Mr. John F. (21)
- Hurley, Mr. William
- Hurwitz, Mr. Ken (56)
- Jacobsen, Ms. Marilyn P. (67)
- \*Jaeger, Mr. Rick (49)
- Jeffreys, Ms. Cynthia A. (85)
- Kilmer, Mr. and Mrs. Allen S. (40)
- \*Kley, Mr. William H. (65)
- \*Kolker, Mr. Anthony S. (60)
- \*Lewis, Mr. Robert C. (81)
- McConnell, Mr. W. Bruce III (2)
- \*McTigue, Ms. Barbara J. (34)
- Magrino, Mr. and Mrs. J. L. Jr.
- Medero, Mr. Frederick R. (70)
- \*Michel, Mr. Ralph P. (61)
- Mohan, Ms. Eileen B. (69)
- \*Needham, Mr. and Mrs. Michael J. (78)
- \*\*Pfleiderer, Mr. Stephen D. (67)
- \*Queen, Ms. Georgette (65)
- \*Radigan, Mr. John F. (51)
- \*Rankin, Mr. and Mrs. James V. (32)
- Rickershauser, Mr. Charles E. Jr.
- Rubin, Mr. Leonard J. (84)
- \*\*Sardell, Mr. William (67)
- \*Schieff, Mr. and Mrs. William R. (36)
- Seidenfeld, Mr. Glenn K. (74)
- \*Seigler, Mr. William (75)
- \*Shughart, Mr. David S. II (79)
- \*Shwartz, Mr. Joseph (18)
- Silverstein, Mr. Leo (71)
- \*Smathers, Mr. John T. (10)
- Smith, Senator Margaret Chase (27)
- \*Sparrow, Mr. Victor H. III (34)
- \*Staubberg, Mr. Aubrey Theodore Jr.
- \*Stein, Mr. Robert M.
- Stiles, Mr. Ned
- Taylor, Mr. Michael (73)
- \*Tri, Mr. Lee Manh (67)
- Webb, Mr. Carleton E.
- Weinberg, Mr. Jerome M.
- \*Wertlieb, Ms. Helana M. (43)
- \*White, Mr. Frederick L.

