

(5) What questions exist as to a...  
~~market~~ Market regarding  
market manipulation + foreign securities

MEMORANDUM

November 30, 1988

TO: Chairman Ruder ✓  
Commissioner Fleischman  
Linda Fienberg  
Rick Ketchum  
George Kundahl  
Gary Lynch  
Kathie McGrath  
Linda Quinn

FROM: Dan Goelzer *Dan*

SUBJECT: ABA/SEC Roundtable -- December 5, 1988

The ABA/SEC Roundtable will begin at 9:00 am on Monday, December 5, in the Commission's open meeting room, 1C30. I anticipate that the meeting will last until approximately 1:30 or 2:00 pm. As mentioned in my November 28 memorandum, the meeting again will include a working lunch, which will be served around noon. It seems probable that we will have reached one of the last two items on the agenda by then, so that, if the meeting proceeds according to the schedule suggested by Jim Cheek (attached), the discussion at lunch likely will focus on municipal securities or internationalization, or both.

The lunch will include sandwiches, salad and soft drinks and cost approximately \$12 per person. Please contact Bob Mills (272-2436) by the end of the day Thursday, December 1, to let him know whether you or other members of your staff will attend the lunch, so that he can place the order with the caterer.

(1) Federal Reserve study  
on an unnamed international bank

(2) What about power over  
investment banks

(3) Michael Mann's paper

(4) New SEC E