

Committee Print for Private Securities Litigation Reform Amendments

for markup-May 25, 1995

AMDT	SPONSOR	DESCRIPTION
1	Boxer	Exemption for retirement plans and seniors
2	Boxer	Protection for retirement plans and seniors
3	Boxer	Safe harbor not applicable for insider trading
4	Boxer	Effective date
5	Boxer	Rule 11 Sanctions
6	Bennett	Section 12(2) of the 193 Act
7	Bryan	Statute of limitations from S.667
8	Bryan/Shelby	Aiding and abetting from S.667
9	NONE	
10	Bryan	Changes recommended by SEC
11	Bryan	Exemption for derivatives
12	Dodd	Limitations period for implied private rights of action
13	Dodd	Securities Act, Exchange Act, Investment Company Act, Investment Advisors Act
14	Dodd	Authority of SEC to prosecute aiding and abetting
15	Sarbanes	Safe harbor from S. 240
16	Sarbanes	Placeholder
17	Sarbanes	Placeholder
18	Sarbanes	Safe harbor: delete "actual knowledge"
19	Sarbanes	Same as above, and improve cautionary language
20	Sarbanes	Same as above, and delete '33 Act safe harbor
21	Gramm	Application to the Investment Company Act
22	Bond	Placeholder
23	Sarbanes/Bryan/Shelby	Protect investors when damages are uncollectible

24	Bryan	Change uncollectible share provision from 50% to 100%
25	Bryan	Restore definition of “knowing fraud” from S.240
26	Faircloth	Professional plaintiff limitation from H.R. 1058
27	Faircloth	Safe harbor provisions ’33 ’34 Acts
28	Faircloth	Stronger pleading requirement to show state of mind from S. 240
29	Faircloth	Increase the statute of limitations to 10 Years
30	Kerry	Second Cir. “Strong Inference” language
31	Kerry	Preservation of evidence
32	Kerry	Early notice to class members/intervention
33	Kerry	Stay of discovery/preservation of evidence
34	Kerry	Safe harbor: delete actual knowledge
35	Kerry	Protection for retirement plans and seniors