

SECHI - Update

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SECURITIES AND EXCHANGE COMMISSION HISTORICAL SOCIETY

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To: Members of the Advisory Council and the Commissioners Council By Email and Postal Mail

From: Carla L. Rosati, CFRE (CRW)

Date: March 31, 2005

Subject: SEC Historical Society – 2005 1st Quarter Report

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Virtual Museum and Archive

- The Museum Committee has issued a Request for Proposal for a history consultant to identify and access primary materials to build the Joseph P. Kennedy and the Creation of the SEC gallery, as well as prepare an introductory essay. The gallery is scheduled to be opened this fall.
- The Society will access the SEC's 1963 SEC Special Study of the Securities Markets into the virtual museum for May 1st. This will be the only online source for the study. Stuart Kaswell shared his copy of the Special Study to the Society for accession.
- The virtual museum and archive will welcome visitors' remembrances of the events of September 11, 2001 and aftermath from April through June for inclusion in the collections with the 2005 Annual Meeting (see below).
- The virtual museum and archive attracted 4,164 visitors from January through March. Papers continue to be the most-visited section, but Timeline has now moved to second position, followed by Online Programs, Photos and Oral Histories.

Programs

- The Society will hold its Annual Meeting for the first time outside of Washington, D.C., when we present "Crisis and Resolve: The SEC and the Securities Industry Remember September 11, 2001" in New York City on June 9th at 4:00 pm. Harvey Pitt will be the keynote speaker; a panel discussion, moderated by Wayne Carlin with David Becker, Edward Kwalwasser, Annette Nazareth and Thomas Russo, will precede the address. The Annual Meeting will be held at Bear, Stearns & Co. and broadcast on www.sechistorical.org. Kalorama Parters LLC is the primary sponsor for the Annual Meeting; Pfizer, Inc. has also joined in support. Inkind contributions are being made by Bear, Stearns & Co. and Deloitte & Touche LLP.
- The Developments in the Mutual Fund Industry series broadcast three programs – Entry of Banks (March 8th), Entry of Insurance Companies (March 22nd) and Money Market Funds (March 29th) – to a combined live audience of 275 persons. Recent Developments, which was postponed from March 1st due to a snowstorm, will be broadcast on April 27th

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Outreach

- The Society will make a presentation on the virtual museum and archive to the SEC International Institute for Securities Market Development on April 27th. The institute will have 135 representatives from over 60 nations. The virtual museum and archive received close to 10% of its visitors from January through March, representing 49 nations.
- The SEC Institute, Inc. has agreed to have materials about the virtual museum and archive at its eight training conferences during 2005.
- Beus Gilbert PLLC will host a gathering for the Society in Scottsdale on April 20th. On May 24th, Fidelity will host a gathering for the Society in Boston.
- Stacy Paxson requested that the library at her firm – Baker Botts LLP in DC – cite the virtual museum and archive as the “Resource of the Week” for March 14th.

Save the Date

All members of the Advisory Council and the Commissioners Council are invited to attend the All-Volunteer Meeting, preceding the 2005 Annual Meeting, on Thursday, June 9th, beginning at 1:00 pm, at the Grand Hyatt New York.

If you have any questions or need additional information about the Society’s activities, please visit www.sechistorical.org or email c.rosati@sechistorical.org.